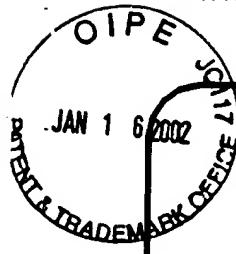


Please type a plus sign (+) inside the box →

(Modified) PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/852963
		Filing Date	May 8, 2001
		First Named Inventor	Michael Tolson
		Group Art Unit	2152
		Examiner Name	Unassigned
Total Number of Pages in This Submission	19	Attorney Docket Number	507-000310US

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <input type="checkbox"/> receipt acknowledgment postcard
<input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)		
<input checked="" type="checkbox"/> Extension of Time Request		
<input type="checkbox"/> Express Abandonment Request		
<input type="checkbox"/> Information Disclosure Statement		
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Stephen J. LeBlanc, Reg. No. 36,579, The Law Offices of Jonathan Alan Quine
Signature	
Date	7 Dec 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name	Tracie Brooks
Signature	
Date	12-7-C

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**FEE TRANSMITTAL****for FY 2001**

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.OIPS  
JAN 16 2001  
TRADEMA

TOTAL AMOUNT OF PAYMENT (\$)

1220

**Complete If Known**

Application Number	09/852963
Filing Date	May 8, 2001
First Named Inventor	Michael Tolson
Examiner Name	Unassigned
Group / Art Unit	2152
Attorney Docket No.	507-000310US

**METHOD OF PAYMENT (check one)**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **50-0893**  
Deposit Account Name **Law Offices of Jonathan Alan Quine**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed:

Check  Money Order  Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity  
Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$) Code (\$) Code (\$)

101	710	201	355	Utility filing fee	<b>355</b>
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	80	Provisional filing fee	

**SUBTOTAL (1) (\$)** **355**

**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims	13	-20** = 0	X = 0
Independent Claims	5	-3** = 2	X 40 = 80
Multiple Dependent			

\*\*or number previously paid, if greater; For Reissues, see below

**Large Entity Small Entity**

Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$) Code (\$) Code (\$)

103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	78	209	39	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)** **80**

**3. ADDITIONAL FEES**

Large Entity Small Entity  
Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$) Code (\$) Code (\$)

105	130	205	65	Surcharge - late filing fee or oath	<b>65</b>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1440	218	720	Extension for reply within fourth month	<b>720</b>
128	1960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1280	241	640	Petition to revive - unintentional	
142	1280	242	640	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** **785**

**SUBMITTED BY**

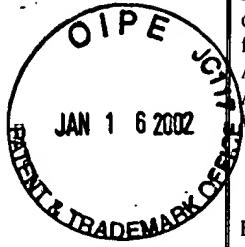
Typed or Printed Name	Stephen J. LeBlanc	Complete (if applicable)
Signature		Reg. Number <b>36,579</b>

Date **10/20/01** Deposit Account User ID

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: **12-7-C1**

Typed or Printed Name	Tracie Brooks	Date	12-7-C1
Signature	<i>Tracie Brooks</i>		



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents,  
Attn: Box Missing Parts,  
Washington, D.C. 20231, on 12-7-01

By Tracie Brooks  
Tracie Brooks

LAW OFFICES OF JONATHAN ALAN QUINE

Attorney Docket No. 507-000310US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Michael Tolson et al.

Examiner: Unassigned

Application No.: 09/852963

Art Unit: 2152

Filed: May 8, 2001

TRANSMITTAL LETTER - RESPONSE  
TO NOTICE OF MISSING PARTS

For: ARCHITECTURE FOR A SYSTEM OF  
PORTABLE INFORMATION AGENTS

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date Granted" dated July 5, 2001, enclosed are the following to be made of record in the above-identified application:

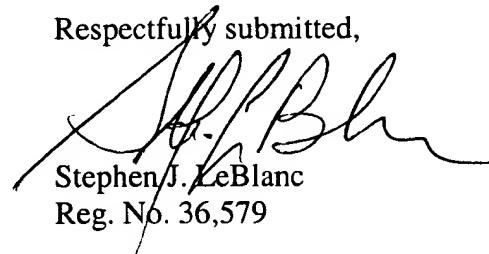
- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)  
(+ copy of Assignment - not for recordation-note: The Assignment for recordation is being sent concurrently under separate cover to Box Assignment, pursuant to the MPEP @ Page 300-M and Form PTO-1619A)
- 3) Copy of Notice of Missing Parts
- 4) **Petition for Extension of Time for 4 months Under 37 CFR 1.136(a)**
- 5) Fee transmittal sheet
- 6) Transmittal Sheet
- 7) Receipt indication postcard

Please charge Deposit Account No. 50-0893 for the following fees:

Small entity:	(a) Filing Fee (§ 1.16(a)) (Small Entity)	\$ 355.00
	(b) Excess Claims Fees (§ 1.16(b), (c)):	
	13 - 20 = 0 x 18 =	\$ 0.0
	5 - 3 = 2 x 40 =	\$ 80.00
	(c) Missing Parts Surcharge	\$ 65.00
	<b>TOTAL FEES TO BE CHARGED</b>	<b>\$ 500.00</b>

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893.

Respectfully submitted,

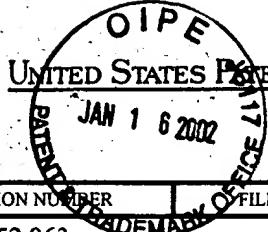


Stephen J. LeBlanc  
Reg. No. 36,579

LAW OFFICES OF JONATHAN ALAN QUINE  
P.O. BOX 458  
Alameda, CA 94501  
(510) 337-7871  
Fax (510) 337-7877

*SECRET*

#4



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/852,963	05/08/2001	Michael Tolson	507-000310US

CONFIRMATION NO. 4911

## FORMALITIES LETTER



\*OC00000006259665\*

Date Mailed: 07/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/22/2002 SDIRETA1 00000128 500893 09852963

FILED UNDER 37 CFR 1.53(b)

 01 FC:201 370.00 CH  
 02 FC:205 65.00 CH  
 03 FC:202 84.00 CH
*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$160.  
*■ \$160 for 2 independent claims over 3 .*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1000.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Law Offices of Jonathan Alan Quine  
2033 Clement Ave., Suite 200  
Alameda, CA 94501

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ORIGINALLY FILED

174 3441 \$ 00 .800  
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7500 MAILED FROM ALAMEDA CA 94501

143 3471 \$ 00 .000  
PB 8711549  
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7503 MAILED FROM ALAMEDA CA 94501



Box Missing Parts  
Assistant Commissioner for Patents  
Washington D.C. 20231